

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Bing Guo, Ian M. Kennedy, Dosi Dosev, Darina Melnikov, and Mikaela Nichkova

APPLICATION NO.: 10/576,776

FILING DATE: October 22, 2004

TITLE: Methods for Preparing and Functionalizing Nanoparticles

EXAMINER: Not Yet Known

GROUP ART UNIT: Not Yet Known

ATTY. DKT. NO.: 23540-09582 (2004-167-2)

MAIL STOP MISSING PARTS
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ALEXANDRIA, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

SIR:

Responsive to the Notification of Missing Requirement of Missing Parts dated November 3, 2006, received in the above-identified patent application,

☒ Attached are:

- ☒ a copy of the Notification of Missing Requirement;
- ☒ executed Declarations;
- ☐ an executed Power of Attorney;
- ☐ an executed Statement Under 37 CFR 3.73(b);
- ☐ application data sheet;
- ☒ payment in the amount of \$125.00 for the
 - ☐ application filing fee;
 - ☐ fee for additional claims; and

☒ missing parts surcharge plus 1-mo. extension

☐ Other:

☒ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
BING GUO, ET AL.

Dated: January 17, 2007

By: Jennifer R. Bush
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/576,776	Bing Guo	2354009582

INTERNATIONAL APPLICATION NO.

PCT/US04/35295

I.A. FILING DATE	PRIORITY DATE
10/22/2004	10/22/2003

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CONFIRMATION NO. 6047

371 FORMALITIES LETTER



OC000000021076582

Date Mailed: 11/03/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/21/2006
- Copy of the International Search Report filed on 04/21/2006
- Copy of Annexes to the IPER filed on 04/21/2006
- Information Disclosure Statements filed on 04/21/2006
- Small Entity Statement filed on 04/21/2006
- U.S. Basic National Fees filed on 04/21/2006
- Priority Documents filed on 04/21/2006
- Specification filed on 04/21/2006
- Claims filed on 04/21/2006
- Abstracts filed on 04/21/2006
- Drawings filed on 04/21/2006

Fenwick & West LLP

NOV 06 2006

RECEIVED

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/576,776	PCT/US04/35295	2354009582